Domain Engineering
"Upstream" from Requirements Engineering and Software Design

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Abstract

Before software can be developed its requirements must be stated. Before requirements can be expressed the application domain must be described. In this report we outline some of the basic facets of domain engineering.

Domains seem, it is our experience, far more stable than computing requirements, and these again seem more stable than software designs. Perhaps a way in which to more rapidly develop trustworthy software from believable requirements is to secure comprehensive domain theories.

An brief example will be given on the basis of which we briefly discuss, in this report, the notions of: domain intrinsics, domain support technologies, domain management & organisation, domain rules & regulations, domain human behaviour, etc. We show elsewhere how to "derive" requirements from domain descriptions: domain requirements: by domain projection, instantiation, extension and initialisation; interface requirements: multi-media, dialogue, etc.; and machine requirements: performance, dependability (reliability, availability, accessibility, safety, etc.).

1 Background

The workshop for which this modest contribution is drawn up takes it departure point in the US President's Information Technology Advisory Committee (PITAC) 1998 interim report: Need for software outstrip development resources. Desparately needed software is not being developed. Software must be made far more usable, reliable and powerful. Current development, test and maintenance processes must change. Scientifically sound software development approaches are required: Enabling meaningful and practical testing for consistency of specifications and implementations. The current document, based on [1, 2, 3, 4, 5, 6, 7, 8, 9] immodestly suggest that a stronger emphasis need be put, in future, on domain engineering as one means of reaching the PITAC goals.
2 Example: Resource Management

2.1 Synopsis and Narrative

The scope is that of resources and their management. The span is that of strategic, tactical and operations management and of actual operations. Strategic resource management is about acquiring ("expansion, upgrading") or disposing ("down-sizing, divestiture") of resources: Converting one form of resource to another. Tactical resource management is about allocating resources spatially and scheduling them for general, temporal availability. Operations resource management is about allocating resources to tasks and scheduling them for special, time interval deployment. These three kinds of resource management reflect rather different perspectives: Strategic resource management is the prerogative and responsibility of executive management. Tactical resource management is the prerogative and responsibility of line ("middle level") management. Operations resource management is the prerogative and responsibility of operations (ie. "ground level") management.

2.2 Formalisation: Resources and their Handling

2.2.1 Formalisation: Resources

type R, Rn, L, T, E, A

\[
\begin{align*}
\text{RS} & = \text{R-set} \\
\text{SR} & = T \to RS, \\
\text{SRS} & = \text{SR-infset} \\
\text{TR} & = (T \times T) \to R \to L, \\
\text{TRS} & = \text{TR-set} \\
\text{OR} & = (T \times T) \to R \to A \\
A & = (Rn \to \text{R-set}) \to (Rn \to \text{R-set})
\end{align*}
\]

value

\[
\begin{align*}
\text{srm: } & \text{RS} \to E \times E \to E \times (\text{SRS} \times SR) \\
\text{trm: } & \text{SR} \to E \times E \to E \times (\text{TRS} \times TR) \\
\text{orm: } & \text{TR} \to E \times E \to E \times OR \\
\text{ope: } & \text{OR} \to \text{TR} \to \text{SR} \to (E \times E \times E \times E) \to E \times RS \\
\text{p: } & E \to \text{Bool}
\end{align*}
\]

\text{srm, trm and orm are the strategic, tactical and operations management functions. ope is the actual operations function. p is a predicate which determines whether the enterprise can continue to operate (either its state and in its environment, \text{e}, or not.}

2.2.2 Resource Formalisation — Annotation

R, L, T, E and A stand for resources, spatial locations, times, the enterprise (with its estimates, service and/or production plans, orders on hand, etc.), respectively tasks (actions). SR, TR and OR stand for strategic, tactical and operational resource views, respectively. srm, trm and orm stand for strategic, tactical, respectively operations resource management. To keep our model "small", we have had to resort to a "trick": Putting all the facts knowable and needed
in order for management to function adequately into E!E, besides the enterprise itself, also
models its environment: That part of the world which affects the enterprise.

There are, accordingly, the following management functions: Strategic resource
management, $srm(rs)(e,e''')=(e',(sr,sr))$, proceed on the basis of the enterprise (e) and its
current resources (rs), and "ideally estimates" all possible strategic resource possibilities (sr),
and selects one, desirable (sr). The "estimation" is heuristic. Too little is normally known
to compute sr algorithmically. We refer to [5] for details. Tactical resource management,
$trm(sr)(e,e''')=(e'',(trs,tr))$, proceed on the basis of the enterprise (e) and one chosen strategic
resource view (sr) and "ideally calculates" all possible tactical resource possibilities (trs), and
selects one, desirable (tr). As for strategic resource management, we refer to [5] for details.
Operations resource management, $orm(tr)(e,e''')=(e''',or)$, proceed on the basis of the enterprise (e) and one chosen tactical resource view (tr) and effectively decides on one operations
resource view (or). We refer to [5] for details. Actual enterprise operation, ope, enables, but
does not guarantee, some "common" view of the enterprise: ope depends on the views of
the enterprise its state and environment, as "passed down" by management; and ope applies,
according to prescriptions kept in the enterprise state, actions, a, to named (rn:Rn) sets of
resources.

2.2.3 Formalisation: Resource Handling

The above account is, obviously, rather "idealised". But, hopefully, indicative of what is going
on. To give a further abstraction of the "life cycle" of the enterprise we "idealise" it as now
shown:

value
enterprise: RS $\rightarrow$ E $\rightarrow$ Unit
enterprise(rs)(e) =
if p(e) then
let (e',(sr,sr)) = srm(rs)(e,e'''),
(e'',(trs,tr)) = trm(sr)(e,e'''),
(e''',or) = orm(tr)(e,e'''),
(e''',rs') = ope(or)(tr)(sr)(e,e', e''') in
let e'''' := E \* p(, e''', e''''') in
terprise(rs')(e''''' end end
else stop end

The enterprise re-involution argument, rs', a result of operations, is intended to reflect the
use of strategically, tactically and operationally acquired, spatially and task allocated and
scheduled resources, including partial consumption, "wear & tear", loss, replacements, etc.

An imperative version of enterprise could be:

value
enterprise: E $\rightarrow$ RS $\rightarrow$ Unit
enterprise(e)(rs) =
variable ve:E := e;
while p(ve) do
let (e',(sr,sr)) = srm(rs)(ve,e'''),

\( (e'',(\text{trs},\text{tr})) = \text{trm}(\text{sr})ve,(e''') \),
\( (e'',\text{or}) = \text{orm}(\text{tr})(ve,e'''') \),
\( (e''',\text{rs}) = \text{ope}(\text{or})(\text{tr})(\text{sr})(ve,e',e'',e''') \) in

\[
\text{let } e'''':E \cdot p'(e''',e''') \text{ in } \quad \text{ve} := e'''' \text{ end end end}
\]

ope: OR \to TR \to SR \to (E \times E \times E) \to E \times RS

Only the program flow of control recursion has been eliminated. The \text{let } e''''':E \cdot p'(e''',e''') \text{ in } \ldots \text{ shall model a changing environment.}

### 2.2.4 Resource Handling — Annotation

There are two forms of recursion at play here: The simple tail-recursive, next step, day-to-day recursion, and the recursive “build-up” of the enterprise state \( e''' \). The latter is the interesting one. To solve it, by iteration towards some acceptable, not necessarily minimal fixpoint, the three levels of management and the “floor” operations change that state and “pass it around, up-&-down” the management “hierarchy”. The operate function “unifies” the views that different management levels have of the enterprise, and influences their decision making. Dependence on \( E \) also models potential interaction between enterprise management and, conceivably, all other stake-holders. We remind the reader that we are “only” modelling the domain — with all its imperfections!

### 3 Discussion

The model just presented is, obviously, sketchy. But we believe it portrays important facets of domain modelling.

We are modelling a domain with all its imperfections: We are not specifying anything algorithmically; all functions are rather loosely defined, in fact only their signature is given. This means that we model well-managed as well as badly, sloppily, disastrously managed enterprises. We can, of course, define a great number of predicates on the enterprise state and its environment \( (e:E) \), and we can partially characterise intrinsics — facts that must always be true of en enterprise, no matter how well or badly it is managed. But if we “programme-specified” the enterprise then we would not be modelling the domain of enterprises, but a specifically “business process engineered” enterprise. And we would be into requirements engineering — we claim. So let us take now, a closer view of the kind of things we can indeed model in the domain!

### 4 Stake-holder Perspectives

There are several kind of domain stake-holders: Enterprise stake-holders: (i) owners, (ii) management: (a) executive, (b) line, and (c) “floor”, managers, (iii) workers, (iv) families of the above. Non-enterprise stake-holders: (v) clients (customers), (vi) competitors, resource providers: (a) IT resource providers, (b) non-IT/non-finance resource providers, and (c) financial service providers, (vii) regulatory agencies, (viii) politicians, and (ix) the “public—at—large”. For each stake-holder there usually is a distinct domain perspective: A partial
specification. The example shown earlier illustrated, at some level of abstraction, the interaction between, hence the perspectives of, enterprise managers and workers, and, at a higher level of abstraction, interaction with the environment, incl. all other stake-holders.

5 Domain Facets

We shall sketch the following facets:

Domain intrinsics: That which is common to all facets.

Domain support technologies: That in terms of which most other facets (intrinsics, management, organisation, and rules & regulations) are implemented.

Domain management and organisation: That which constrains communication between enterprise stake-holders.

Domain rules & regulations: That which guides the work of enterprise stake-holders as well as their interaction and the interaction with non-enterprise stake-holders.

Domain human behaviour: The way in which domain stake-holders despatch their actions and interactions wrt. enterprise: dutifully, forgetfully, sloppily, yes even criminally.

We shall briefly characterise each of these facets.

5.1 Intrinsics

The intrinsics of a rail switch is that it can take on a number of states. A simple switch \(^{Y_{\gamma}}Y_{\gamma} \) has three connectors: \(\{c, c_i, c_j\} \). \(c\) is the connector of the common rail from which one can either "go straight" \(c_i\), or "fork" \(c_j\).

\[ \omega : \{ \{\}, \{\}(c, c_i), \{(c, c_i), (c_i, c)\}, \{(c, c_i), (c_i, c)\}, \{(c, c_j), (c_j, c)\}, \{(c, c_j), (c_j, c)\}, \{(c, c_i), (c_i, c)\}, \{(c, c_j), (c_j, c)\} \} \]

Nothing is said about how a state is determined: Who sets and resets it, whether determined solely by the physical position of the switch gear, or also by visible signals up or down the rail away from the switch.

The intrinsics of a domain is a partial specification:

type
\[ \Gamma_i, \Sigma_i, Syntax, VAL_i \]

value
\[ I: Syntax \rightarrow \Gamma_i \Rightarrow \Sigma_i \Rightarrow \Sigma_i \]
\[ V: Syntax \rightarrow \Gamma_i \Rightarrow \Sigma_i \Rightarrow VAL \]
\[ E: Syntax \rightarrow \Gamma_i \Rightarrow \Sigma_i \Rightarrow \Sigma_i \times VAL \]
\[ D: Syntax \rightarrow \Gamma_i \Rightarrow \Sigma_i \Rightarrow \Gamma_i \times \Sigma_i \]
\[ C: Syntax \rightarrow \Gamma_i \Rightarrow \Sigma_i \Rightarrow \Gamma_i \times \Sigma_i \times VAL \]

Intrinsics descriptions emphasise looseness and non-determinism.

5.2 Support Technologies

An example of different technology stimuli: A railway switch, "in ye olde days" of the "childhood" of railways, was manually "thrown"; later it could be mechanically controlled from a
distance by *wires and momentum* “aplification”; Again later it could be electro-mechanically controlled from a further distance by *electric signals that then activated mechanical controls*; and today switches are usually *controlled in groups that are electronically interlocked*.

An aspect of supporting technology includes the recording of state-behaviour in response to external stimuli. Figure 1 indicates a way of formalising this aspect of a supporting technology.

**Figure 1: State Switching**

Support technologies “implement” contexts and states: \( \gamma_i : \Gamma_i, \sigma_i : \Sigma_i \) in terms of “actual” contexts and states: \( \gamma_a : \Gamma_a, \sigma_a : \Sigma_a \)

type

Syntax,
\[
\Gamma_i, \Sigma_i, \text{ VAL}_i, \\
\Gamma_a, \Sigma_a, \text{ VAL}_a, \\
\text{ ST } = \Gamma_i \times \Sigma_j \Rightarrow \Gamma_a \times \Sigma_a
\]

value

sts:ST-set
I: Syntax \( \Rightarrow \Gamma_a \Rightarrow \Sigma \Rightarrow \Sigma_a \)
V: Syntax \( \Rightarrow \Gamma_a \Rightarrow \Sigma \Rightarrow \text{ VAL}_a \)
E: Syntax \( \Rightarrow \Gamma_a \Rightarrow \Sigma \Rightarrow \Sigma_a \times \text{ VAL}_a \)
D: Syntax \( \Rightarrow \Gamma_a \Rightarrow \Sigma \Rightarrow \Gamma_a \times \Sigma_a \)
C: Syntax \( \Rightarrow \Gamma_a \Rightarrow \Sigma \Rightarrow \Gamma_a \times \Sigma_a \times \text{ VAL}_a \)

Support technology is not a refinement. Support technology typically introduces considerations of technology accuracy, failure, etc. Axioms, not shown, characterise members of the set of support technologies sts.

5.3 Management and Organisation

People staff the enterprises, the components of infrastructures with which we are concerned: For which we develop software. The larger these enterprises, these infrastructure components,
are, the more need there is for management and organisation. The role of management is roughly, for our purposes, twofold: To make strategic, tactical and operational policies and see to it that they are followed, and to react to adverse conditions: Unforeseen situations, and decide upon their handling.

Policy setting should help non-management staff operate normal situations — for which no management interference is thus needed, and management "back-stops" problems: Takes these problems off the shoulders of non-management staff. To help management and staff know who’s in charge wrt. policy setting and problem handling, a clear conception of the overall organisation is needed: Organisation defines lines of communication within management and staff and between these. Whenever management and staff has to turn to others for assistance they follow the command line: The paths of organigrams — the usually hierarchical box and arrow/line diagrams.

Management is a set of predicates, observer and generator functions which parameterise other, the operations functions, that is: determine their behaviour. Organisation is a set of constraints on communication behaviours.

**Figure 2: Organisational Structures**

```plaintext
type
  Msg, Ψ, Σ
channel
  { ms[i]:Msg | i:Sx }
value
  sys: Unit → Unit
  mgr: Ψ → in,out { ms[i] | i:Sx } Unit
  stf: i:Sx → Σ → in,out ms[i] Unit

  sys() ≜ || { stf(i)(iσ) | i:Sx } || mgr(ψ)
  mgr(ψ) ≜
```
let \( \psi' = \ldots \)
\[
(\| \{ \text{ms[i]}!\text{msg} \mid i: Sx \} \ldots) \]
\[
(\ldots \text{let msg'} = \text{ms[i]}? \text{ in } \ldots \text{ end } \mid i: Sx \})
\]
\[
\ldots \text{ in } \text{mgr}(\psi') \text{ end}
\]
\[
\text{stf}(i)(\sigma) \equiv
\]
\[
\text{let } \sigma' = \ldots
\]
\[
(\text{let msg} = \text{ms[i]}? \text{ in } \text{f(msg)}(\sigma) \text{ end})
\]
\[
(\ldots \text{ms[i]}!\text{msg'} \ldots ) \ldots \text{ in } \text{stf}(\sigma') \text{ end}
\]

### 5.4 Rules & Regulations

In China arrival and departure of trains at, respectively from railway stations are subject to the following regulation: In any three minute interval at most one train may either arrive or depart.

In many countries railway lines (between stations) are segmented into blocks or sectors. The purpose is to stipulate that if two or more trains are moving — obviously in the same direction — along the line, then there must be at least one free sector (ie. without a train) between any two such trains.

In the United State of America personal checks issued in any one state of the union must be cleared by the sending and receiving banks, if within the same state, then within 24 hours, and else within 48 or 72 hours, depending on certain further stipulated relations between the states.

\[
\text{type}
\]
\[
\text{RR} = \text{Syntax} \rightarrow \Gamma \rightarrow \Sigma \rightarrow \text{Bool}
\]
\[
\text{RRS} = \text{RR-set}
\]
\[
\text{value}
\]
\[
\text{valid} : \text{RRS} \rightarrow \Gamma \rightarrow \Sigma \rightarrow \text{Bool}
\]
\[
\text{valid}((\text{rrs})(\gamma))(\sigma) \equiv
\]
\[
\forall \text{rr} : \text{RR} \cdot \text{rr} \in \text{rrs} \Rightarrow \text{rr}(\gamma)(\sigma)
\]

### 5.5 Human Behaviour

Some people try their best to perform actions according to expectations set by their colleagues, customers, etc. And they usually succeed in doing so. They are therefore judged reliable and trustworthy, good, punctual professionals \((b.p)\) of their domain. Some people set lower standards for their professional performance: Are sometimes or often sloppy \((b.s)\), make mistakes, unknowingly or even knowingly. And yet other people are outright delinquent \((b.d)\) in the despatch of their work: Couldn't care less about living up to expectations of their colleagues and customers. Finally some people are explicitly criminal \((b.c)\) in the conduct of what they do: Deliberately "do the opposite" of what is expected, circumvent rules & regulations, etc. And we must abstract and model, in any given situation where a human interferes in the "workings" of a domain action, any one of the above possible behaviours!
We model the "arbitrariness", the unpredictability, of human behaviour by internal non-
determinism:

... $b_2 \parallel b_3 \parallel b_4 \parallel b_5$ ...

The above shows just a fragment of a formal description of that part which reflects human
behaviour. The exact, possibly deterministic, meaning of each of the $b$'s can be separately
described.

5.6 Discussion

6 Conclusion

6.1 Acknowledgements

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7 Bibliographical Notes

This document being a very preliminary draft lacks proper citations.

I do, however, strongly confess my delight in having studied Jackson's [10, 11, 12, 13, 14].
To support the implied claims made in the present document we refer to the following
own reports and publications: [1, 2, 3, 4, 5, 6, 7, 8, 9].

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